

# Information Disclosure Statement Transmittal

Docket Number

1131-13-PA-TD

Address To  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

## In Re Application Of:

Application No.	10/734,931
Filing Date	December 12, 2003
Examiner	Gray, Phillip A.
Art Unit	3767

### Title of Invention

SPRING CLIP SAFETY IV CATHETER

### BASIS FOR FILING (Select 1, 2 or 3 below.)

#### 37 CFR 1.97(b)

1)  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53 (d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

#### 37 CFR 1.97(c)

2)  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

a)  the statement specified in 37 CFR 1.97(e);

OR

b)  the fee set forth in 37 CFR 1.17(p).

#### 37 CFR 1.97(d)

3)  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

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## STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The Information Disclosure Statement submitted herewith is submitted under one of the following conditions, which satisfies the requirement under 37 CFR 1.97(e). (Select 4 or 5 below if applicable.)

- 4)  That each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or
- 5)  That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

## Remarks (Enter Remarks Below or Attach Document Containing Remarks)

### Fee set forth in 37 CFR 1.17(p):

- No additional fee is required.
- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 11-1159 as described below.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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## Correspondence Address

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## Certificate of Mailing by Express Mail

I hereby certify that this Transmittal Letter, accompanying documents and fee (if appropriate) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date indicated below:

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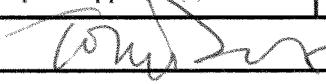
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Tom H. Dao

Name	Tom H. Dao		Registration Number	44,641
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